Engaging civil society in designing public sector AI: What participatory methods can we use?

Karolina Drobotowicz drobotowicz.karolina@aalto.fi Aalto University Espoo, Finland

Bhuvana Sekar bhuvana.sekar@aalto.fi Aalto University Espoo, Finland

Nghiep Lucy Truong lucy.truong@aalto.fi Aalto University Espoo, Finland

ABSTRACT

The 21st-century public sector faces unique challenges in designing and developing digital services while adhering to different principles and values. At the same time, the introduction of AI in this sector poses new risks. In this position paper, we highlight the importance of involving civil society in the design process from the earliest stages to ensure that the services developed are inclusive, equitable, and ethical. We then present a methodology for participatory design and engagement that can be used to involve citizens and stakeholders at various stages of the service lifecycle. The methodology includes participatory research and data collection, access design, participatory systems mapping, stakeholder mapping, and concept creation. We argue that involving civil society in the development of AI-enabled services is crucial for building strong communities and creating communication channels between governments and civil society.

CCS CONCEPTS

• Human-centered computing \rightarrow Interaction design process and methods; • Computing methodologies -> Artificial intelligence.

KEYWORDS

service design, civic engagement, public sector, artificial intelligence

ACM Reference Format:

Karolina Drobotowicz, Bhuvana Sekar, and Nghiep Lucy Truong. 2023. Engaging civil society in designing public sector AI: What participatory methods can we use?. In Proceedings of Communities and Technologies, Workshop - Designing the City: Challenges and Opportunities in Public Service Design (C&T '23). ACM, New York, NY, USA, 3 pages. https://doi.org/10. 1145/nnnnnn.nnnnnn

CIVIC ENGAGEMENT IN PUBLIC SECTOR AI 1

The 21st-century public sector, compared to other sectors, must contend with distinct conditions and challenges for the development

C&T '23, May 29 - June 02, 2023, Lahti, Finland

© 2023 Association for Computing Machinery.

ACM ISBN 978-x-xxxx-x/YY/MM...\$15.00

https://doi.org/10.1145/nnnnnnnnnnn

and design of digital services while adhering to different principles and values [16]. With looming economic recessions, hyperpolarization, urgent environmental crises, unemployment and increasing digital parties, governments and public services need new approaches to problem-solving. One such approach, crucial for a democratic society, is civic engagement. If addressed adequately, it can empower civil society both by enhancing their skills and giving them an opportunity to influence services that will impact them in the future [11]. It can also support building strong communities and communication between government and civil society.

At the same time, when we talk about digital public services nowadays, we also need to consider the new trend of algorithmic decision-making and big data systems being implemented in the public sector. A recent report documented 686 use cases of AIbased services in the public sectors among all EU Member States and several other European countries [16]. Specifically in Finland, where the authors of this paper are located, there are 34 recorded cases [16]. Furthermore, the AI register of the City of Helsinki lists 9 AI-enabled services. The services typically listed in both outlets are, for example, chatbots and virtual assistants, classification and search of documents, anomalies detection.

In our experience, we noticed multiple challenges for civic engagement in AI-enabled services development in the public sector. For example, in a case study exploring AI-based educational services with the City of Helsinki [2], the main service concepts emerged solely from technical experts. The potentially impacted group was only engaged at the late prototyping stage. Furthermore, in our interview study [3], we learnt about the existence of two cultures that hinder civic engagement: 1) risk-aversion which is "the practice of avoiding sharing information about new or planned services because of reputational fears and losing the trust of citizens" and 2) expert thinking models which emphasizes "the expertise of the AI practitioners in contrast to people impacted by the use of AIenabled services". Lastly, Simonofski et al. [15] mentioned lack of capacity, resources and methodology as the main obstacles to civic engagement in creating e-government services.

In this position paper, we argue for including civil society since the earliest stages of AI-enabled service development and present an approach that could be used for that purpose.

2 PARTICIPATORY METHODS FOR **DESIGNING PUBLIC SERVICES**

In the following section, we go through some relevant participatory approaches and methods for engaging civil society and stakeholders at various stages of a service lifecycle. Although there are many more, we have chosen these few methods as the ones fitting the

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

best in the realm of the public sector and AI development in our experience.

2.1 Participatory Research and Data Collection

Traditional qualitative research methods such as one-to-one interviews and focus groups during the exploratory phase of design are often reported as a common method for the data collection process [6]. However, the term data collection suggests that the researcher is the expert and the 'subject' is being studied, supporting the existing expert-thinking cultures and therefore, removing agency from the interviewee. This issue is most apparent in the cases of structured and semi-structured interviews where the research determines the structure, driving a researcher-led research design. To promote a more participatory research design, we would propose a participant-led interview structure. *Narrative inquiry, critical incident techniques,* and *story interviews* are all examples of how narrative-based approaches to interviews can allow the participant to guide the researcher to ask the right follow-up questions according to the stories they are sharing [10].

Next, for effective collaboration that is respectful and mindful of citizens' and users' contexts, we propose continuing with *access design* [1]. This method can be viewed as an initial teaser which gives a sense of what the collaboration feels like. It offers small joint exercises that help in defining targets for design engagement and motivation. Research shows that the method is useful to maintain expectations and also set a space to see whether or not everyone wants to take part in the effort, outlining civic agency and participation [1].

2.2 Data Synthesis and Analysis

To facilitate collective and participatory sensemaking, we suggest the use of *participative systems mapping* [14]. This method helps in bringing in a plurality of perspectives and exploring causes for trends from multiple perspectives, identifying leverage points and uncovering unintended consequences. It is particularly useful in socio-technical contexts, where it exposes participants' mental models to reveal systemic insights and examines connections between problems.

Furthermore, *participatory systems mapping* can serve as a process of engagement and facilitate in creating shared language and comprehension [7]. When co-created with communities through a bottom-up, design-led democratic process, these maps can help us to understand the structures of power by shedding light on previously overlooked actors. Making these maps tangible objects of discussion can help turn community members into decision-makers, promote awareness, and enable agency [12].

Lastly, while *stakeholder mapping* is a popular method, we propose extending its use beyond representational artifacts and deriving value from them as actionable and conversational tools to open dialogue about roles, power and participation [4]. By embarking on this activity iteratively with civic participation we can gain insights into how the public perceives the stakeholders involved, identify potential partners for collaboration, and minimize the likelihood of incorporating inherent biases into AI systems.

2.3 Concept Creation

Concept creation is typically owned by designers and researchers. Here, we argue for broadening the scope of ownership so the public can be invited to actively collaborate in the generation of new service ideas. This can be made possible by employing a *service design-oriented mindset* [17]. By opening this process up to other actors, new concepts can be generated in a participative manner [8].

We suggest not only co-designing the service but also the way the public sector communicates about this service development. For that, we suggest using the *create a shared vision for a future* method [9], where all the stakeholders, therein civil society representatives, are included in creating communication about the service under development. This would enable public deliberation on projects under development and address the risk-averse culture of the public sector.

2.4 Design in Use/Situ

In any digital service, especially the AI-based one, it is important to accommodate its iterative lifecycle. Each iteration should be evaluated - and we believe that the indicators for evaluation should also be co-defined by all stakeholders. For this, we suggest the *define shared indicators* method [9]. The shared indicators would also guide the data collection methods for the evaluation process.

Finally, deploying a *co-realisation approach* can effectively help us understand the implications of new digital systems not just by studying how they work as it is now, but by observing the system's subsequent uses in a participatory manner over time [5, 18].

2.5 Challenges for Participatory Research

Conducting participatory research in the context of public services poses many challenges. Participatory research methods often involve mobilizing extensive resources over several months or longer. It requires a time commitment and interest from both the researchers and participants to transform the implications of qualitative research to design outcomes. In our proposal, we primarily address methodological challenges but do not address the issue of insufficient resources and capacity.

Second, these participatory design approaches are often situated in conditions of conflict and contestation, requiring an analysis of the values and dispositions of different stakeholders. These can exist as *Socio-Cultural*, *Power*, *Constructed*, and *Value-based Ecologies*. Such contestations should be explicitly recognised and it requires the researcher(s) to be *critically engaged* throughout the participatory design process [13].

Finally, we reflect on the challenges of civic engagement, especially since there will always be a group of people left out of the participatory activities. To minimize this, we suggest the *stakeholders mapping* activity, where all relevant stakeholders would be acknowledged and engaged in future actions. Moreover, mixedmethod research should be employed to incorporate quantitative methods such as large-scale surveys, experimental measures, and usage logs to complement qualitative insights. Such approaches may support wider reach, enhance inclusivity, and allow for generalizability of research outcomes to represent a broader consensus among participants in civil society. Engaging civil society in designing public sector AI: What participatory methods can we use?

3 CONCLUSION

In this position paper, we argue that good design of AI public services is one that engages multiple stakeholders from the beginning and throughout the design process of public sector services. We present the selection of participatory methods from different sources that can promote inclusivity and empowerment when designing and developing AI-enabled public services.

Participatory design approaches are inherently complex, and when it comes to public services, they become even more challenging due to the diverse range of stakeholders involved, and the dynamic ambiguity of the domain itself. However, despite these challenges, the design of effective public services necessitates a participatory approach that incorporates relevant mixed-method research.

ACKNOWLEDGMENTS

We would like to acknowledge members of the Critical AI and Crisis Interrogatives (CRAI-CIS) research group in the Department of Computer Science at Aalto University, including Nitin Sawhney, Minttu Tikka, Kaisla Kajava, Henna Paakki, and Uttishta Varanasi.

REFERENCES

- Andrea Botero and Hyysalo Sampsa. 2013. Ageing together: Steps towards evolutionary co-design in everyday practices. *CoDesign* 9 (March 2013), 37–54. https://doi.org/10.1080/15710882.2012.760608
- [2] Berkman Klein Center. 2023. A New Approach to Experiential Learning: Scholars inform the City of Helsinki on ethical AI applications for schools during BKC's AI Policy Research Clinic. https://cyber.harvard.edu/story/2021-08/new-approachexperiential-learning-scholars-inform-city-helsinki-ethical-ai
- [3] Karolina Drobotowicz, Nghiep Lucy Truong, Johanna Ylipulli, Ana Paula Gonzalez Torres, and Nitin Sawhney. 2023. Practitioners' Perspectives on Inclusion and Civic Empowerment in Finnish Public Sector AI. In *The 11th International Conference on Communities and Technologies*. Lahti, Finland. https: //doi.org/10.1145/3593743.3593765
- [4] Fanny Giordano, Nicola Morelli, Amalia De Götzen, and Judith Hunziker. [n. d.]. The Stakeholder map: a conversation tool for designing people-led public services. ([n. d.]).
- [5] Mark Hartswood, Rob Procter, Roger Slack, Alex Voß, Monika Büscher, Mark Rouncefield, and Philippe Rouchy. 2008. Co-Realization: Toward a Principled Synthesis of Ethnomethodology and Participatory Design. In *Resources, Co-Evolution and Artifacts: Theory in CSCW*, Mark S. Ackerman, Christine A. Halverson, Thomas Erickson, and Wendy A. Kellogg (Eds.). Springer, London, 59–94. https://doi.org/10.1007/978-1-84628-901-9_3
- [6] Anton Isaacs. 2014. An overview of qualitative research methodology for public health researchers. *International Journal of Medicine and Public Health* 4, 4 (2014), 318–323. https://doi.org/10.4103/2230-8598.144055
- [7] Eunji Kang, Eunsoo Lee, Tshering Wangmo, Tshoki Zangmo, and Bishnu Chettri. 2021. Breaking the Silos Through Participatory Systems Mapping. https://provocations.darkmatterlabs.org/breaking-the-silos-throughparticipatory-systems-mapping-fccf9c2d01ab
- [8] Lucy Kimbell. 2012. Rethinking Design Thinking: Part II. Design and Culture 4, 2 (July 2012), 129–148. https://doi.org/10.2752/175470812X13281948975413 Publisher: Routledge _eprint: https://doi.org/10.2752/175470812X13281948975413.
- [9] Coboi Lab. 2022. Method 4 17: How to tackle challenges with a multistakeholder approach. Ayuntamiento de Sant Boi de Llobregat. https://www.coboilab.cat/en/ projectes/417-method/
- [10] Wendy E. Mackay. 2023. DOIT: The Design of Interactive Things. Selected methods for quickly and effectively designing interactive systems from the user's perspective. In Extended Abstracts of the 2023 CHI Conference on Human Factors in Computing Systems (CHI EA '23). Association for Computing Machinery, New York, NY, USA, 1–3. https://doi.org/10.1145/3544549.3574172
- [11] Sofie Pilemalm. 2018. Participatory Design in Emerging Civic Engagement Initiatives in the New Public Sector: Applying PD Concepts in Resource-Scarce Organizations. ACM Transactions on Computer-Human Interaction 25 (Jan. 2018), 1–26. https://doi.org/10.1145/3152420
- [12] Juan de la Rosa, Stan Ruecker, and Carolina Giraldo Nohora. 2021. Systemic Mapping and Design Research: Towards Participatory Democratic Engagement. She Ji: The Journal of Design, Economics, and Innovation 7, 2 (June 2021), 282–298. https://doi.org/10.1016/j.sheji.2021.04.001

- [13] Nitin Sawhney and Anh-Ton Tran. 2020. Ecologies of Contestation in Participatory Design. In Proceedings of the 16th Participatory Design Conference 2020 -Participation(s) Otherwise - Volume 1 (PDC '20). Association for Computing Machinery, New York, NY, USA, 172–181. https://doi.org/10.1145/3385010.3385028
- [14] Michal Sedlacko, Andre Martinuzzi, Inge Røpke, Nuno Videira, and Paula Antunes. 2014. Participatory systems mapping for sustainable consumption: Discussion of a method promoting systemic insights. *Ecological Economics* 106 (Oct. 2014), 33–43. https://doi.org/10.1016/j.ecolecon.2014.07.002
- [15] Anthony Simonofski, Monique Snoeck, and Benoît Vanderose. 2019. Co-creating e-Government Services: An Empirical Analysis of Participation Methods in Belgium. In Public Administration and Information Technology. 225–245. https: //doi.org/10.1007/978-3-319-98953-2_9 Journal Abbreviation: Public Administration and Information Technology.
- [16] Luca Tangi, NOORDT Colin Van, Marco Combetto, Dietmar Gattwinkel, and Francesco Pignatelli. 2022. AI Watch. European landscape on the use of Artificial Intelligence by the Public Sector. Technical Report. https://doi.org/10.2760/39336 ISBN: 9789276530589 ISSN: 1831-9424.
- [17] Jakob Trischler, Timo Dietrich, and Sharyn Rundle-Thiele. 2019. Co-design: from expert- to user-driven ideas in public service design. *Public Management Review* 21, 11 (Nov. 2019), 1595–1619. https://doi.org/10.1080/14719037.2019.1619810 Publisher: Routledge _eprint: https://doi.org/10.1080/14719037.2019.1619810.
- [18] Jan-Peter Voß, Adrian Smith, and John Grin. 2009. Designing long-term policy: rethinking transition management. *Policy Sciences* 42, 4 (Nov. 2009), 275–302. https://doi.org/10.1007/s11077-009-9103-5